



## Code of Conduct and Ethics

Members of the International Financial Services Contractors Association (IFSCA) are required to adhere to the IFSCA Code of Conduct and Ethics. Failure to adhere to the code may mean loss of membership and have regulatory implications.

Each member of ISFCA must:

- 1 Act with integrity and in an ethical manner when dealing with prospective or engaged clients, the client's customers & their employees.
- 2 You should place the integrity of your work/profession and clients interests above that of your own personal interests.
- 3 You should act with due diligence and independently when judging, taking actions, engaging and making recommendations in dealing with a prospective or engaged client.
- 4 Maintain and strive to improve your own personal and professional standards and to assist other in theirs.
- 5 Observe law, regulations and professional conduct standards when carrying out your service to clients.
- 6 Maintain and manage a level of professional competence appropriate to your client engagement, and strive to improve your competencies in other related areas.
- 7 Decline to act for a client should you not have the relevant competencies to carry out the work in an effective and professional manner.
- 8 Not to knowingly make any misrepresentations relating to any work activities on behalf of a client.
- 9 You should show loyalty and deal fairly with your client when judging, taking actions, engaging and making recommendations.
- 10 Manage any conflicts of interest and decline to act if a conflict becomes unmanageable.